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[REDACTED]

08 September 2015

Dear [REDACTED]

Freedom of Information Act 2000 – Information request (ref: FOI_15-168)

We have now considered your request of 07 September 2015 for information relating to the University's investments.

Our response is on page 2 of this letter, together with a copy of your request, and we hope this will meet your requirements.

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You have the right of appeal against this response. If you wish to appeal, please set out in writing your reasons for appealing and send to the above address. You must appeal within 60 calendar days of the date of this letter. Any appeal received after that date will not be considered nor acknowledged. This policy has been reviewed and approved by the Information Commissioner's Office.

You also have a subsequent right of appeal to the Information Commissioner's Office. Further information is available on their website:

https://ico.org.uk/Global/contact_us, or by telephone on 0303 123 1113.

Please quote our reference given at the head of this letter in all correspondence.

Yours sincerely

Ellen Paterson
Information Policy and Compliance Manager
University of East Anglia

Response to Freedom of Information Act 2000 request (FOI_15-168)

Under the terms of the Freedom of Information request, I am writing to request information about investments held by UEA.

I would be grateful if you were able to share with me the following information:

a) If the university is directly invested in particular companies. If so, I would be grateful if you could share the list of companies that the university is directly invested in.

b) If the university has investments managed on its behalf by an investment company or fund manager. If so, please provide information about the name(s) of the company investing funds on behalf of the university and all of the information about the names of the funds that the university is invested in.

Our response is as follows:

| Investments in companies as at 31 July 2015 (latest date for which data are held) | |
|---|-----------------|
| SECURITY NAME: | Managed by: |
| ABERDEEN ASSET MGT ORD GBP0.10 | Barclays Wealth |
| ASTRAZENECA ORD USD0.25 | Barclays Wealth |
| BHP BILLITON PLC USD0.50 | Barclays Wealth |
| BLACKROCK AM (IE) | Barclays Wealth |
| BT GROUP ORD0.05 | Barclays Wealth |
| CAPITA PLC ORD0.02066666 | Barclays Wealth |
| DIAGEO ORD GBX28.935185 | Barclays Wealth |
| F&C FUND MGMT LTD RESP UK EQUITY GROWTH 2 ACC | Barclays Wealth |
| F&C FUND MGMT LTD RESP UK EQUITY INCOME 2 ACC | Barclays Wealth |
| GLAXOSMITHKLINE ORD GBP0.25 | Barclays Wealth |
| HALMA ORD GBP0.10 | Barclays Wealth |
| HSBC HOLDINGS PLC USD0.50(UK REG) | Barclays Wealth |
| IMI Ord GBP0.28571428 | Barclays Wealth |
| ISHARES II PLC DJ GBLSTUST SCR UCITS GBP | Barclays Wealth |
| ISHARES II PLC GBP INDEX-LINKED GILTS UCIT | Barclays Wealth |
| ISHARES II PLC UK GILTS UCITS ETF | Barclays Wealth |
| LEGAL AND GENERAL GP LEGAL ORD GBP0.025 | Barclays Wealth |
| LLOYDS BANKING GP ORD0.1 | Barclays Wealth |
| MAYFAIR CAPITAL IN PROP INC TRUSTS FOR CHARITIES | Barclays Wealth |
| NATIONAL GRID ORD GBP0.113953 | Barclays Wealth |
| PRUDENTIAL PLC ORD GBP0.05 | Barclays Wealth |
| RELX PLC GBP0.1444 | Barclays Wealth |
| RIO TINTO ORD GBP0.10 | Barclays Wealth |
| ROYAL DUTCH SHELL 'B'ORD EURO0.07 | Barclays Wealth |
| SABMILLER PLC ORD USD0.10 | Barclays Wealth |
| SAINSBURY (J) ORD GBP0.28571428 | Barclays Wealth |
| SSE PLC GBP0.50 | Barclays Wealth |

| Investments in companies as at 31 July 2015 (latest date for which data are held) | |
|---|-----------------|
| SECURITY NAME: | Managed by: |
| STANDARD CHART PLC ORD USD0.50 | Barclays Wealth |
| UK(GOVT OF) 0.125% INX LKD GIL 03/29GB | Barclays Wealth |
| UK(GOVT OF) 3.25% GILT 22/01/44GBP | Barclays Wealth |
| UK(GOVT OF) 4.25% STK 07/12/27 GBP | Barclays Wealth |
| UNILEVER PLC ORD GBP0.031111 | Barclays Wealth |
| VODAFONE GROUP ORD USD0.2095238 | Barclays Wealth |
| WEIR GROUP ORD GBP0.125 | Barclays Wealth |
| WPP PLC ORD GBP0.10 | Barclays Wealth |
| MOBILE TORANTO | UEA |