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[REDACTED]

29 July 2015

Dear [REDACTED]

Freedom of Information Act 2000 – Information request (ref: FOI_15-121)

We have now considered your request of 02 July 2015 for information relating to funding from specific companies and reviews into potential staff conflicts of interest.

Our response is on page 2 of this letter, together with a copy of your request, and in the accompanying PDF 'FOI_15-121 Appendix A'. We hope this information will meet your requirements, however it is not possible to provide all the requested information. In line with your rights under section 1(1)(a) of the Act to be informed whether information is held, we confirm that the University does not hold information relevant to your third and fourth questions. In addition, some data relating to your first question is incomplete.

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You have the right of appeal against this response. If you wish to appeal, please set out in writing your reasons for appealing and send to the above address. You must appeal within 60 calendar days of the date of this letter. Any appeal received after that date will not be considered nor acknowledged. This policy has been reviewed and approved by the Information Commissioner's Office.

You also have a subsequent right of appeal to the Information Commissioner's Office. Further information is available on their website:

https://ico.org.uk/Global/contact_us, or by telephone on 0303 123 1113.

Please quote our reference given at the head of this letter in all correspondence.

Yours sincerely

Ellen Paterson
Information Policy and Compliance Manager
University of East Anglia

Response to Freedom of Information Act 2000 request (FOI_15-121)

1. *How much funding was provided in endowments in past 5 years from following organisations (please specify amount for each organisation) [clarified on 06 July as the income received from any investments]*

- *Royal Dutch Shell*
- *Shell Oil*
- *BHP Billton*
- *BP*
- *Exxon Mobil*
- *Glencore*
- *Peabody Energy*
- *Anglo American*
- *Rio Tinto*
- *Total*
- *Statoil*
- *Gazprom*

See accompanying PDF 'FOI_15-121 Appendix A'.

2. *How much funding was provided in sponsorship of departments or specific projects in the past 5 years from? (please specify amount for each organisation)*

- *Royal Dutch Shell*
- *Shell Oil*
- *BHP Billton*
- *BP*
- *Exxon Mobil*
- *Glencore*
- *Peabody Energy*
- *Anglo American*
- *Rio Tinto*
- *Total*
- *Statoil*
- *Gazprom*

£0. No such funding has been provided to the University by these companies within the specified time period.

3. *Please run a search of your conflict of interest register for all staff and researchers, looking for connections or financial support from the following companies, and providing details of the name of the staff member connected:*

- *Royal Dutch Shell*
- *Shell Oil*
- *BHP Billton*
- *BP*
- *Exxon Mobil*
- *Glencore*
- *Peabody Energy*
- *Anglo American*
- *Rio Tinto*
- *Total*
- *Statoil*

- *Gazprom*

[Information not held - s.1(1)(a), Freedom of Information Act]

The University does not hold a conflict of interest register or similar, and is therefore unable to search as requested.

It may help to note for future reference that while we are unaware of any such conflicts occurring, we would be unable to confirm this without consultation with individual staff members. To undertake any such consultation across the University would certainly exceed the 18 hour 'appropriate limit' allowed under the Act.¹

3. (4.) How many times has the university dealt with complaints or investigations or reviews into conflict of interest have taken place in the past five years? Which company was involved, which staff member and what was the outcome?

[Information not held - s.1(1)(a), Freedom of Information Act]

We hold no records of any such complaint, investigation or review taking place at the University within the specified time period.

¹ <http://www.legislation.gov.uk/ukxi/2004/3244/contents/made>