



University of East Anglia

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[REDACTED]

21 April 2017

Dear [REDACTED]

Freedom of Information Act 2000 – Information request (ref: FOI_17-077)

We have now considered your request of 20 March 2017, clarified on 22 March, for information relating to University investments.

Our response is on page 2 of this letter, together with a copy of your request, and in the accompanying PDF 'FOI_17-077 Appendix A'.

We hope this information will meet your requirements, however if you are not satisfied you have the right of appeal. If you wish to appeal, please set out in writing your reasons for appealing and send to the above address. You must appeal within 60 calendar days of the date of this letter. Any appeal received after that date will not be considered nor acknowledged. This policy has been reviewed and approved by the Information Commissioner's Office.

You also have a subsequent right of appeal to the Information Commissioner's Office. Further information is available on their website:

https://ico.org.uk/Global/contact_us, or by telephone on 0303 123 1113.

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Please quote our reference given at the head of this letter in all correspondence.

Yours sincerely

Ellen Paterson
Information Policy and Compliance Manager
University of East Anglia

Response to Freedom of Information Act 2000 request (FOI_17-077)

What was the market value of the institution's endowment funds on 31 July 2016?

The market value of the University's endowment fund on 31 July 2016 was £7,257,958.00.

On 31 July 2016 were the institution's endowment funds managed directly by the institution, or indirectly by an external fund manager(s), or a combination of both? If external fund manager(s) are used, please provide the name of each company used, along with the % of the endowment funds that they were managing.

The University's endowment funds were managed by both the institution (69%) and Barclays Wealth (31%).

If the university invests directly in companies what is the market value invested in each company on 31 July 2016?

The University invests in Tornado Mobile. The market value as at 31 July 2016 was £9,000.00.

If the university holds investments through an external fund manager please provide the investment portfolio for that manager from 31 July 2016, which includes the market value for each of their investments?

See FOI_17-077 Appendix A.

Does the institution have an ethical investment policy, or similar? If so, please state if it is publically available, and provide a web link. If it is not publically available, please provide a copy.

The University's investment policy is available at <https://portal.uea.ac.uk/finance/endowment-fund-investment>

Does the institution apply any specific investment inclusions or exclusions to endowment funds or financial investments? If so, please provide details on what they are.

Details of the review of investment policy implementation is available at <https://portal.uea.ac.uk/finance/endowment-fund-investment>

Has the university made any public statements regarding divesting from fossil fuel companies or ethical investment in the last 5 years? Please provide link to statements.

Yes. The latest statement is provided in FOI_17-077 Appendix A. Prior statements were provided in our response to a previous related request. See https://portal.uea.ac.uk/documents/6207125/11658752/Appendix+B_Data+file_FOI_16-125.pdf

The University's "Sustainable Ways" publication also included an article entitled "Go Green Week". See https://www.uea.ac.uk/documents/3154295/9533899/Sustainable+Ways_Feb17.pdf