



University of East Anglia

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[REDACTED]

15 September 2016

Dear [REDACTED]

Freedom of Information Act 2000 – Information request (ref: FOI_16-155)

We have now considered your request of 17 August 2016, as clarified on 18 and 26 August, for information on the amount of money that UEA spent on obtaining access to academic publications and publishing open access material in the academic years of 2009/10 and 2015/16.

Our response is within the attached document entitled 'FOI_16-155 Appendix A', and on pages 3-4 of this letter, together with a copy of your request.

In accordance with your instructions that we provide data for only one of the requested years if we cannot provide it for both, we would advise that we can only provide data for the 2015/16 year. The time and effort required to locate and extract the requested information for the 2009/10 year would have been in excess of the statutory appropriate limit of 18 person-hours across the institution and would have resulted in the rejection of the entirety of your request. We have therefore removed that year from the scope of this request.

Additionally, as we do not hold any information identifying our subscribed journals as hybrid titles, we cannot provide the requested information in questions 1(a) & 1(b) broken down by closed access vs. hybrid journals, or the information requested in questions 1(c) & 1(d) broken down by open access vs. hybrid journals. As per your instructions, we have provided a figure combining these two categories for both the fees information and the APC fee information.

In line with your rights under section 1(1)(a) of the Act to be informed whether information is held, we confirm that the University does not hold information giving the number of articles accessible by the institution in each of the journals/resources to which we subscribe.

We hope this information will meet your requirements; however if you are not satisfied you have the right of appeal. If you wish to appeal, please set out in writing your reasons for appealing and send to the above address. You must appeal within 60 calendar days of the date of this letter. Any appeal received after that date will not be considered nor acknowledged. This policy has been reviewed and approved by the Information Commissioner's Office.

You also have a subsequent right of appeal to the Information Commissioner's Office. Further information is available on their website:

https://ico.org.uk/Global/contact_us, or by telephone on 0303 123 1113.

Please note that any material over which UEA has copyright is released on the understanding that you will comply with all relevant copyright rules regarding reproduction and/or transmission of the information provided.

Please quote our reference given at the head of this letter in all correspondence.

Yours sincerely

Dave Palmer
Information Policy and Compliance Manager
University of East Anglia

Response to Freedom of Information Act 2000 request (FOI_16-155)

I am interested in obtaining information on the amount of money that this institution spent on obtaining access to academic publications in two academic years: 2009/10 and 2015/16. I am looking both for comprehensive national-level information which does not disclose individual publishers, down to more detailed information about individual journals.

With this in mind, I would be grateful if you could provide the following information for the years 2009/10 and 2015/16:

.... if you cannot provide data for one of these years please advise on which years you could provide it for.

[Clarified on 18 August to refer to academic years and to request data for both print and online journals, and those provided by way of aggregator services]

1. In both these years, what was the total amount that the institution paid, broken down by the following:

(a) fees to access closed access journals

(b) fees to access hybrid journals

If you cannot provide the information broken down by closed access vs. hybrid journals, then I would be satisfied with a figure combining these two categories.

A figure representing the fees to access all journals is presented within the attached document entitled FOI_16-155 Appendix A.

(c) Article Processing Charges (APCs) for hybrid journals

(d) APCs for open access journals

The total amount spent on APCs for all journals in 2015/16 is presented within the attached document entitled FOI_16-155 Appendix A.

2. Please list the following for both of these years:

(a) The names of the publishing companies the institution was dealing with.

The requested information is presented within the attached document entitled FOI_16-154 Appendix A. This document includes the names of publishers with whom we had a subscription and those to whom we paid an APC.

(b) A full list of the journals or other resources, for each of these publishing companies, which the institution was subscribed to. In each case please state whether the journal is closed access or hybrid (I assume that there will, by definition, be no open access journals in this list).

[Clarified on 26 August to withdraw the request for information at journal title level and accept figures only by publisher]

A list of publishers with whom UEA purchased subscriptions is presented within the attached document entitled FOI_16-154 Appendix A.xlsx.

(c) The number of articles accessible by the institution in each of these journals/resources, if this information is readily available

[Information not held – s.1(1), Freedom of Information Act]

We do not hold any information on the number of articles accessible by UEA for each of the subscribed journals.

3. Please provide, for both of these years, all of the costs set out in question 1 broken down by each of the following:

(a) Publishers listed in your answer to question 2 (a).

(b) Journals or other resources set out in your answer to question 2 (b). If this data is bundled with publisher information due to an arrangement made, please do mark this out.

[Clarified on 26 August to withdraw the request for information at journal title level and accept figures only by publisher]

The requested information is presented within the attached document entitled FOI_16-155 Appendix A.xlsx.

4. Please state which of the deals set out in your answer to question three were brokered by:

(a) The Joint Information Systems Committee (JISC)

(b) Yourself

The requested information is presented within the attached document entitled FOI_16-155 Appendix A.xlsx.

5. I understand that JISC has set out a formula for the fair allocation of fees brokered by them to each institution. Their banding model is published on their website and is available here: www.jisc-collections.ac.uk/Help-and-information/JISC-Banding/. However, I further understand that the banding model isn't being applied by all publishers. How many of the JISC-negotiated deals listed above follow this banding model?

The requested information is presented within the attached document entitled FOI_16-155 Appendix A.xlsx.